Docket No.: SL-108

Harrington & Smith, LLP Docket No.: 907.0119.U1(US)

Patent Application Papers of: Vaughn L. Mower, Merle L.

Keller, Kent R. Bruening

Method and System for Deriving Dynamic Data Clocks From PN  $$\operatorname{\textbf{Codes}}$$ 

10

20

25

Method and System for Deriving Dynamic Data Clocks From PN Codes

## BACKGROUND OF THE INVENTION

# 1. Field of the Invention

The present invention relates to spread spectrum communication systems using PN coding techniques and, more particularly, to generating data clocks synchronous with PN code epochs.

# 2. Prior Art

Spread spectrum (SS) systems, which may be CDMA systems, are well known in the art. SS systems can employ a transmission technique in which a pseudo-noise (PN) PN-code is used as a modulating waveform to spread the signal energy over a bandwidth much greater than the signal information bandwidth. At the receiver, the signal is de-spread using a synchronized replica of the PN-code.

15 In general, there are two basic types of SS systems: direct sequence spread spectrum systems (DSSS) and frequency hop spread spectrum systems (FHSS).

The DSSS systems spread the signal over a bandwidth  $f_{RF}$   $\pm$   $R_c$ , where  $f_{RF}$  represents the carrier frequency and  $R_c$  represents the PN-code chip rate, which in turn may be an integer multiple of the symbol rate  $R_s$ . Multiple access systems employ DSSS techniques when transmitting multiple channels over the same frequency bandwidth to multiple receivers, each receiver sharing a common PN code or having its own designated PN-code. Although each receiver

1 4 1

10

5

10

15

20

25

30

receives the entire frequency bandwidth, only the signal with the receiver's matching PN-code will appear intelligible; the rest appears as noise that is easily filtered. These systems are well known in the art and will not be discussed further.

FHSS systems employ a PN-code sequence generated at the modulator that is used in conjunction with an m-ary frequency shift keying (FSK) modulation to shift the carrier frequency  $f_{RF}$  at a hopping rate  $R_h$ . A FHSS system divides the available bandwidth into N channels and hops between these channels according to the PN-code sequence. At each frequency hop time, a PN generator feeds a frequency synthesizer a sequence of n chips that dictates one of 2n frequency positions. The receiver follows the same frequency hop pattern. FHSS systems are also well known in the art and need not be discussed further.

As noted, the DSSS system PN-code sequence spreads the data signal over the available bandwidth such that the signal appears to be noise-like and random; but the signal is deterministic to a receiver applying the same PN-code to de-spread the signal. However, the receiver must also apply the same PN-code at the appropriate phase in order to de-spread the incoming signal, which explicitly implies synchronization between the receiver and transmitter.

In addition, the receiver data clock used by the receiver must be the same as the data clock used by the transmitter in order to retrieve user data. Generally, the transmitter data clock rate is generated at an octave rate such as 2<sup>n</sup>, n=0,1,2,3... It will be appreciated that as n increases, the step between clock rates also

15

20

9 3 6 5

increases exponentially as  $2^{(n+1)} - 2^n = 2^n$ . Moreover, the power and bandwidth requirements are also increased proportionally for each step. For example, a system operating with a data clock at  $2^2$  data clock cycles might require 10 watts; a similar system would require 20 watts when operating at  $2^3$  data clock cycles.

In addition, to retrieve the PN encoded data the receiver must complete two generally independent steps: first it must synchronize with the PN code, and then obtain the data clock from a bit/symbol synchronizer such as a narrow band phase lock loop tracking filter and associated circuitry. The multiple steps and hardware add both time and expense to the receiver performance parameters.

It is therefore desirable to provide a method and system whereby the data clock and component PN codes are related in order to reduce the receiver steps and hardware. It is also desirable that the method and system allow for a selection of data clock rates with other than exponential growth rates.

10

15

20

25

30

## SUMMARY OF THE INVENTION

The foregoing and other problems are overcome, and other advantages are realized, in accordance with the presently preferred embodiments of these teachings.

In accordance with one embodiment of the present invention a method for generating a data clock having edge coincidence with an aggregate PN code is provided. The method includes the steps of providing an aggregate PN code generator having an epoch output and resetting a data clock generator when the aggregate PN code generator generates an epoch signal. Between resets the method includes the steps of driving the data clock generator with a PN master clock and a PN master clock divisor; where the divisor is derived from primary factor(s) of the PN codes forming the aggregate PN code.

In accordance with another embodiment of the present invention. а system for generating a synchronous with PN component code minor epochs provided. The system includes a first PN code generator for generating a first binary PN code of length 2", where n=0.1.2.3...k, and where k is predetermined; a second PN code generator for generating a second PN code according to a maximal length code 2<sup>m</sup>-1, where integer m ≤ k and where the maximal length code has an epoch in common with a binary code epoch. The system also includes a data clock generator, having an input PN master clock port, The data clock operating at a frequency "Rc" hz. generator also includes another port that specifies the desired divisor N<sub>c</sub>. N<sub>c</sub> is known a priori as a parameter of

15

20

25

30

the data rate of interest. A preferred embodiment allows for multiple  $N_c$ 's that may be implemented at coordinated times through out a communication. A binary divider coupled to the divisor generator, and the first and second PN code generators, divides a PN master clock signal received on the input PN master clock port by divisor  $N_c$  to obtain the desired symbol clock. The binary divider resets with the common occurrence of the maximal length code epoch and the binary code epoch, thus generating a symbol clock that has a deterministic leading-edge (or trailing-edge) coincidence with the maximal-length and binary-length code epochs.

The invention is also directed towards an integrated circuit (IC). The IC includes a first PN code generator for generating a first PN code comprising a binary code  $2^n$ , where n=0,1,2,3,...k, and where k is predetermined and a second PN code generator for generating a second PN code comprising a maximal length code  $2^m-1$ , where integer  $m \le k$ and where the maximal length code has a maximal length code epoch in common with the binary code epoch. A data clock generator having an input PN master clock port is connected to a divisor generator for generating divisor  $N_{\text{c}};$  and wherein the binary divider divides a PN master clock signal in accordance with the divisor  $N_{\text{\tiny c}}$  and resets with the common occurrence of the maximal length code epoch and the binary code epoch. The IC may be an application specific IC (ASIC) or a field programmable gate array (FPGA).

The invention is also directed towards a program storage device readable by a machine, tangibly embodying a program of instructions executable by the machine to perform method steps for generating a data clock having

15

20

25

30

6 3

edge coincidence with an aggregate PN code. The method includes the steps of providing a PN master clock driving an aggregate PN code generator having an epoch output; and dividing the PN master clock by a PN master clock divisor to generate a data clock. The data clock is reset when the aggregate PN code generator generates an epoch signal. The program of instructions may include at least one Hardware Description (HDL) Language file such as a Very High Speed Integrated Circuit (VHSIC) HDL.

In accordance with another embodiment of the invention a direct sequence spread spectrum system is provided. The system includes a transmitter having a first PN code generator for generating a first binary PN code 2", where n=0,1,2,3,...k, and where k is predetermined; and a second PN code generator for generating a maximal length code  $2^{m}$ -1, where integer  $m \le k$  and where the maximal length code has a maximal length code epoch in common with a binary code epoch. The system also includes a third PN code generator for generating a third PN code, wherein primary factors of the third PN code are not common with primary factors of the first or second PN codes. The transmitter also includes a data clock generator having an input PN master clock port and a divisor generator for generating PN master clock divisor  $N_c$ . A binary divider coupled to the divisor generator divides the PN master clock signal in accordance with divisor  $N_{\text{c}}$  and resets with the common occurrence of the maximal length code epoch and the binary code epoch. The receiver includes a fourth PN code generator for generating the first PN code and a fifth PN code generator for generating the second PN code. The receiver also includes a sixth PN code generator for generating the third PN code. The receiver includes a

second data clock generator having a PN master clock port and a second divisor generator for generating the divisor  $N_{\rm c}$ . Similar to the transmitter section a second binary divider divides a second PN master clock signal received on the second input PN master clock port in accordance with second divisor  $N_c$  and resets with the common occurrence of the maximal length code epoch and the binary code epoch.

BRIEF DESCRIPTION OF THE DRAWINGS

The foregoing aspects and other features of the present invention are explained in the following description, taken in connection with the accompanying drawings, wherein:

Figure 1 is a pictorial diagram of a telecommunications system incorporating features of the present invention;

Figures 2A and 2B are system level block diagrams of the system shown in Figure 1, illustrating PN code derived data-clock features of the present invention;

20 Figure 3A is a block diagram of the present invention the data clock alternate embodiments of generation features of the present invention;

Figure 3B is a block diagram showing an integrated circuit incorporating features of the present invention;

25 Figure 3C is a waveform diagram showing data clock transitions occurring at XY PN code epochs;

Figure 4 is a flow chart of one method for implementing features of the present invention;

5

10

15

4 2 6 5

Figure 5 is a table of divisor  $N_{\text{c}}$  values developed from exemplary X- and Y- PN codes;

Figure 6 is a table showing selected values from the table shown in Fig. 5 and associated step sizes between groups of  $N_r$  values; and

Figure 7 is a graph illustrating a relationship between the selected  $N_{\text{c}}$  values and their associated groups shown in Fig. 6.

#### DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT

Referring to Figure 1, there is shown a pictorial diagram of a telecommunications system incorporating features of the present invention. Although the present invention will be described with reference to the embodiments shown in the drawings, it should be understood that the present invention might be embodied in many alternate forms of embodiments, e.g., point-to-point simplex links, point-to-multipoint links, and either simplex or full-duplex links.

20

25

30

5

10

15

Still referring to Figure 1, there is shown a full-duplex system 10 that is suitable for practicing this invention. Specifically, the system 10 employs direct sequence spread spectrum based techniques over an air link to provide data transfer between Terminal #1 12 and Terminal #2 14. The forward link (FL) from Terminal #1 12 to Terminal #2 14 consists of a spread spectrum waveform that is constructed in the manner described herein, with the PN code being composed of binary and maximal length codes. In a similar manner, the return

link (RL) from Terminal #2 14 to Terminal #1 12 consists of a spread spectrum waveform that is similar or identical to that of the FL. It will be appreciated that an advantage of the present invention allows the data rates of the FL and RL to be changed synchronously and seamlessly at the transmit or receive (modulator and demodulator) ends of the link without the need for bit synchronizers.

- Still referring to Figure 1, Terminal #1 12 includes a Spread Spectrum Modulator (SSM) 12b; the SSM 12b generates a desired spread spectrum waveform at a desired RF frequency. The SSM 12b also provides a Tx clock 12d that is used to clock the Tx Data 12e into the SSM 12b.

  The SSM 12b then combines the Tx data 12e with a spread spectrum PN code to produce the desired spread spectrum waveform. Terminal #1 12 also includes an antenna 12a which may transmit at any suitable RF frequency.
- 20 The signal generated by Terminal #1 12 and transmitted by antenna 12a via the FL is received by Terminal #2 14 via antenna 14a to receiver 14c. Receiver 14c includes a spread spectrum correlator 14c1, PN generator 14c2, clock generator 14c3, and spread spectrum demodulator (SSD) 25 14c4. The received signal is then demodulated by SSD 14c4. Once the signal is acquired and the receiver 14c is tracking the received signal, the Rx Clock 14g and Rx Data 14f are output to the intended user. It will be appreciated that the data clocks 14g and 12d are 30 synchronous and may be commanded to change frequency on binarvand maximal-length PN epochs; advantageously providing means to vary the data rate without interruption; and without the need for bit

TOTALL OFFICE

15

20

25

30

· & :

synchronizers to acquire and track at the new clock frequency with their associated loss of clock coherence between the transmitter and receiver.

5 Similarly, Terminal #2 14 generates a Tx Clock 14d and Tx
Data 14e using the Spread Spectrum Modulator 14b in a
similar fashion described earlier for Terminal #1.
Likewise, Terminal #1 10 may receive the RL signal via
antenna 12a, and demodulate and track the signal as
10 described earlier with receiver 12c to provide Rx Data
12f and Rx Clock 12g to the intended user.

Referring now to Figure 2 there is shown a simplified block diagram showing a representative DSSS transmitter system 2A - incorporating feature of the present invention. The transmitter includes a PN master clock 21, a PN code generator 22, a data clock generator 23, a data multiplexer 24, a modulo-2 combiner 25, a modulator 26, transmitter 27, and antenna. The PN master clock 21 is used to generate the clock signals for the PN generator 22 and the data clock generator 23. The data clock generator 23 uses the master clock 21, the divisor  $N_c$ , and the XY-epochs to generate the desired data clock signal. The data clock signal is then used to drive the data multiplexer 24 to produce aggregate data d(t). The PN code generator 22 provides an aggregate PN code p(t) which is modulo-2 combined with the aggregate data d(t) from the multiplexer 24 to produce baseband signal s(t). The signal s(t) modulates the carrier using a mixer 26 and local oscillator, LO. The resultant modulated signal is transmitted via antenna 28 to the DSSS receiver 2B.

10

15

20

25

The modulated signal from the DSSS transmitter 2A is received via the DSSS receiver antenna 217 and receiver 214. The signal from the receiver 214 is input to a spread spectrum (SS) correlator 213, which correlates the received PN encoded signal with a local PN code generated by the receiver PN code generator 215. The SS correlator 213 includes a receiver master clock generator, which, after correlation and PN tracking functions preformed, is synchronous with the DSSS transmitter master clock 21. The master clock signal from the SS correlator clocks the PN code generator 215 to generate the aggregate PN code sequence p(t). The receiver data clock generator 216 produce the data clocks required by the demodulator 212. The data clock divisor No is input to the data clock generator. The data demodulator performs conventional matched filter functions and outputs data and clock to the data demultiplexer; user data and user clock are output to receiver circuitry (not shown).

As disclosed herein, the present invention describes a novel method and system for synchronizing PN master clocks and data clock rates by generating divisor  $N_c$  in accordance with the subcomponent codes forming the aggregate PN code p(t). As used herein an aggregate PN is defined as an aggregate of at least two subcomponent PN codes. In the preferred embodiment, the aggregate PN code is constructed with at least three subcomponent PN codes. However, in alternate embodiments any suitable number of subcomponent PN codes may be used.

30 Referring also to Figure 3 there is shown a block diagram of the data clock generator 3B7 incorporating features of the present invention. The X-code generator 3B2 generates TODSTSID CEESOE

d

5

10

15

20

25

30

a binary  $2^n$  PN code, where n=0,1,2,3...max. The Y- code generator 3B3 generates a maximal length code 2m-1, where m is an integer value ≤ n. The Z-code generator 3B4 generates any suitable PN code to be combined with the Xand Y-codes in code combiner 3B5, Code combiner 3B5 may be any suitable code combiner such as a MAND code combiner or a MAJ code combiner, both of which are known in the art. The divide by  $N_c$  binary divider 3B8 divides the PN master clock 3B1 signal by a divisor  $N_{\rm c}.$  Where  $N_{\rm c}$ is determined by the prime factors of the binary and maximal-length PN code generators X and Y (and optionally Z) as shown in Figure 3. In a preferred embodiment, the X binary code provides prime factor 2, and the Y code is selected to be of length  $2^{12}-1 = 4095$ . The Y code of length 4095 contains prime factors: 3,3,5,7,13. that the prime factors are multiplied together to produce the code length, i.e. 4095 = 3x3x5x7x13. The set of possible divisor  $N_{\rm c}$  values available using these code lengths can be obtained by generating the table shown in Figure 6. Figure 6 gives in tabular format the possible values of Nc for each combination of prime numbers: 2, 3, 3x3, 5, 7, and 13. Stated differently, any value of  $N_c$ listed in Figure 6 may be used as PN master divisors. Each value of Nc in Figure 6 has the properties of generating synchronous symbol clocks that are edge coincident with the X- and Y- PN code epochs.

In practice, and in a preferred embodiment, only a subset of the available  $N_c$  values listed in Figure 6 is required to obtain the desired set of  $N_c$  values to generate the log-linear division ratio versus division step size illustrated in Figure 7. The rates selected in Figure 7

10

15

20

30

for a preferred embodiment are listed numerically in The  $N_c$  values in Figure 6 are obtained from Figure 5 by throwing away or discarding those values of No that are not needed or are undesired. The end result is a set of N<sub>c</sub> values: 1,2,3,4,5,6,7,8,9,10,12,14.....2048. Note that the step size between successive Nc values is equal to 1 for Nc less than 10 and that each integer between 1 and 10 is included (i.e. there are no gaps). Between  $N_c$  values of 10 and 20 the step size is 2. Between  $N_c$  values to 20 and 40 the step size is 4. trend continues and is bounded only by the limits of the X- and Y- PN code lengths. The progressive step size between selected values of  $N_c$ , as  $N_c$  increases, is desirable and practical in the selection of data rates for practical system application. For example, going between  $N_c$  values 2 and 3 represents a rate change of 3/2= 1.5 which is a significant (50%) and useful data rate On the other hand, for example, making a rate change between 1020 and 1021 represents a data rate change of 1.00196 or an insignificant 0.196 percent change.

Still referring to figure 3, the divide by  $N_c$  binary divider 3B8 is reset by XY epochs detected by AND gate 25 3B6 to transition from one data clock rate to a second data clock rate (for example: Figure 3, t1 to t2). In the preferred embodiment the transition from one data clock rate to the second data clock rate is accomplished nearly simultaneously on the transmitter and receiver. alternate embodiments any suitable logic circuit may be used to detect XY epochs and to reset the binary divider 3B8.

10

15

20

25

30

Referring also to Figure 4, the Y-code is preferably selected, step 41, to be a maximal length code  $2^m-1$ , where  $m \le n$  associated with the binary X-code  $2^n$ . It will be appreciated that in alternate embodiments the Y-code may be any suitable maximal length code.

The X-code length is selected, step 42 to be of length 2°, for n=0,1,2,3...max. This code contains the prime number 2 and can be used to generate binary (2,4,8,...) data clock divisions that are leading edge coincident with the X-epoch. In alternate embodiments trailing edge coincidence may be used. The X-code is preferably generated by inserting a °1" (or °0") after the Y-code maximal length code 2°-1 (see below); in this manner the invention advantageously minimizes hardware and exploits the autoand cross-correlation properties of the PN codes. In alternate embodiments other codes having suitable autoand cross-correlation codes could also be used.

In the preferred embodiment the Z-code is not used in the generation of data clocks. The Z-code is preferably selected to include prime number factors that are relatively prime (i.e., no shared prime multiplicand) with the X- and Y-PN codes.

Still referring to Figure 4, the prime factors of the maximal length code are determined, step 43. The next step, 45, determines a divisor  $N_c$  by selecting a factor from the prime factorization of the maximal length Y-code  $2^{max}-1$ , and multiplying the factor by the binary X-code  $2^n$ , n=0,1,2,3...max (see table 1 and example below). This PN code derived divisor  $N_c$  is then used to divide, step 46, the dividend, and the PN master clock (item 21 in Figure 2), to generate the data clock. It will be appreciated

that deriving the data clock from the PN master clock in this manner ensures coincidence between a PN master clock cycle leading edge and a XY-epoch data clock cycle.

It should be understood that the foregoing description is only illustrative of the invention. Various alternatives and modifications can be devised by those skilled in the art without departing from the invention. For example, in alternate embodiments the Z-code could be another maximal length code with suitable prime factorization numbers, which provide additional divide capability such that a cycle of the PN master clock is coincident with an XYZ-epoch. Accordingly, the present invention is intended to embrace all such alternatives, modifications and variances that fall within the scope of the appended claims.